### ORIGINAL

DT14 Rec'd PCT/PTO

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PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES A 36 238 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICAT 7 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2003/006897 30 June 2003 11 July 2002 TITLE OF INVENTION Stents Comprising SGC Activators APPLICANT(S) FOR DO/EO/US Alonso-Alija, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. X The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. **X** Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. **X** A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: 1) Certificate of Mailing under 37 C.F.R. 1.10; 2) Letter of Limited Recognition Under 37 CFR 11.9(b); and 3) Itemized Return Receipt Postcard. This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	ION NO. (if known, see	ATTORNEY'S DOCKET NUMBER						
	TON NO. (if known, see	<b>2</b> U	9 A C	PCT/EP2003/00	6897	Le A 36	238	
21. The following fees are submitted:					Applicant use	Office use only		
a) Basic national fee\$300.00					\$ 300.00			
b) Examination fee\$200.00					\$ 200.00			
<b>x</b> c) Search fee\$500.00					\$ 500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$ 1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)					
- 100 =	/50 =				x \$250.00	\$		
	<b>30.00</b> for furnishing the olate (37 CFR 1.492(e)).	\$	•					
CLAIMS	CLAIMS NUMBER FILED		NUMBER EXTRA		RATE	\$	L	
Total claims	Total claims 14		- 20 = 0		x \$50.00	\$ 0.00		
Independent clair	Independent claims 5		-3= 2		x \$200.00	\$ 400.00		
MULTIPLE DEPI	ENDENT CLAIM(S) (if a	plicable)			+ \$360.00	\$		
TOTAL OF ABOVE CALCULATIONS =						\$ 1,400.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.								
		\$ 1,400.00	· · · · · · · · · · · · · · · · · · ·					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$		
TOTAL NATIONAL FEE =						\$ 1,400.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$		
TOTAL FEES ENCLOSED =						\$ 1,400.00		
Amount to be refunded:							\$	
				Amoun	to be charged		\$	
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 13-3372 in the amount of \$ 1,400.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No13-3372 A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO: Customer No. 35969								
J ffrey M. Greenman						ifush		
Bayer Pharmaceuticals Corporation			SIGNATURE					
Most Usuar OT 00540				Tilman Breitenstein				
WAVE						anition Hadaa 27 OFF 44	0/6)	
REGISTRATIO						ognition Under 37 CFR 11	.5(0)	
***		-	w.					

## BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATE PATENT AND TRADEMARK OFFICE

### LIMITED RECOGNITION UNDER 37 CFR § 11.9(b)

Tilman Breitenstein is hereby given limited recognition under 37 CFR § 11.9(b) as an employee of Bayer Pharmaceuticals Corporation to prepare and prosecute patent applications wherein Bayer Corporation or Bayer Pharmaceuticals Corporation is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Tilman Breitenstein ceases to lawfully reside in the United States, (ii) Tilman Breitenstein's employment with Bayer Pharmaceuticals Corporation ceases or is terminated, or (iii) Tilman Breitenstein ceases to remain or reside in the United States on an E-2 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: July 14, 2007** 

Harry I. Moatz

Director of Enrollment and Discipline

Attorney's D ck t No. Le A 36 238

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Alonso-Alija, et al.

Serial No.: [to be assigned] National Stage Filing of PCT/EP2003/006897

Filed: herewith

For: Stents Comprising SGC Activators

MAIL STOP PCT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that the attached correspondence comprising:

×	Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 U.S.C. 371 [IN DUPLICATE];						
X	Application Data Sheet under 37 C.F.R. 1.76;						
X	Preliminary Amendment;						
	Original oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4));						
X	English Translation of the Application (35 U.S.C. 371(c)(2));						
×	Copy of the International Application as filed (35 U.S.C. 371(c)(2));						
×ì	Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98 consisting of Transmittal of Information Disclosure Statement under 37 C.F.R. 1.97(b), Information Disclosure Citation (Modified Form PTO-1449) and copies of references cited therein;						
X	Return Receipt Post Card;						
X	Other: Letter of Limited Recognition Under 37 CFR 11.9(b)						

is, on the date shown below, being deposited with the United States Postal Service, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>ED169779138US</u> addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

JAN 10 2005

Breifen L.
Signature of Person Certifying /Tilman Breitenstein